



Express Mail No. EL612596927US

Please type a plus sign (+) inside this box +

PTO/SB/21 (08-00)

Approved for use through 10/31/2002, OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 09/898,365 TRANSMITTAL **Filing Date** July 3, 2001 **FORM First Named Inventor** Teng Pin Poo, et al. (to be used for all correspondence after initial filing) Group Art Unit 2185 **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number 1601457-0007 **ENCLOSURES** (check all that apply) Assignment Papers After Allowance Communication Fee Transmittal Form (for an Application) to Group X Fee Attached Appeal Communication to Board Х Drawing(s) (Formal) of Appeals and Interferences Licensing-related Papers Appeal Communication to Group Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request identify below): Terminal Disclaimer **Express Abandonment Request** Return Postcard Request for Refund Declaration (executed) Check for \$130.00 Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Patrick W. Ma, Reg. No. 44,215 Individual name Signature

Date	October 4, 2001					
		CERTIFICATE OF MAILING				
		peing deposited with the United States Postal Service with sufficient postage as first class sioner for Patents, Washington, DC 20231 on this date:				
Typed or printe	ed name					
Signature		Date				

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Express Mail No. EL612596927US

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 130.00

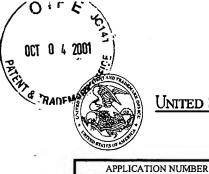
Complete if Known				
Application Number	09/898,365			
Filing Date	July 3, 2001			
First Named Inventor	Teng Pin Poo, et al.			
Examiner Name				
Group Art Unit	2185			
Attorney Docket No.	1601457-0007			

METHOD OF PAYMENT	FEE CALCULATION (continued)	
1. X The Commissioner is hereby authorized to charge indicated fees and credit any overnayments to:	3. ADDITIONAL FEES	
indicated fees and credit any overpayments to: Deposit	Large Small	
Account 23-17()3	Entity Entity Fee Fee Fee Fee Fee Description	F B-14
Number	Code (\$) Code (\$)	Fee Paid
Deposit Account Name White & Case LLP	105 130 205 65 Surcharge - late filing fee or oath	30.00
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
Applicant claims small entity status. See 37 CFR 1.27	139 130 139 130 Non-English specification	
2. X Payment Enclosed:	147 2,520 147 2,520 For filing a request for ex parte reexamination	
Z. A Payment Enclosed. Money Order Other	112 920* 112 920* Requesting publication of SIR prior to Examiner action	
FEE CALCULATION	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month	
Large Entity Small Entity	116 400 216 200 Extension for reply within second month	
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117 920 217 460 Extension for reply within third month	
Code (\$) Code (\$) Fee Paid 101 740 201 370 Utility filing fee	118 1,440 218 720 Extension for reply within fourth month	
106 330 206 165 Design filing fee	128 1,960 228 980 Extension for reply within fifth month	
107 510 207 255 Plant filing fee	119 320 219 160 Notice of Appeal	·
108 740 208 370 Reissue filing fee	120 320 220 160 Filing a brief in support of an appeal	
114 160 214 80 Provisional filing fee	121 280 221 140 Request for oral hearing	
	138 1,510 138 1,510 Petition to institute a public use proceeding	
SUBTOTAL (1) (\$)	140 110 240 55 Petition to revive - unavoidable	
2. EXTRA CLAIM FEES	141 1,280 241 640 Petition to revive - unintentional	
Ext <u>ra Claims below</u> Fee Paid	142 1,280 242 640 Utility issue fee (or reissue)	
Total Claims20** = X =	143 460 243 230 Design issue fee	
Independent - 3** = X =	144 620 244 310 Plant issue fee	
Multiple Dependent	122 130 122 130 Petitions to the Commissioner	
	123 50 123 50 Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity Fee Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt	
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)	
102 84 202 42 Independent claims in excess of 3	146 740 246 370 Filing a submission after final rejection	
104 280 204 140 Multiple dependent claim, if not paid	(37 ČFR § 1.129(a))	
109 84 209 42 ** Reissue independent claims over original patent	149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))	
110 18 210 9 ** Reissue claims in excess of 20	179 740 279 370 Request for Continued Examination (RCE)	
and over original patent	169 900 169 900 Request for expedited examination of a design application	
SUBTOTAL (2) (\$)	Other fee (specify)	
.,	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 130	0.00
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 130	

SUBMITTED BY					Complete (if applicable)	
Name (Print/Type)	Patrick W Ma		Registration No. (Attorney/Agent)	44,215	Telephone	650-213-0326
Signature	m	~			Date	October 4, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



₽

Ō D

m

H









COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 20231

www.uspto.gov FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

09/898,365

FILING/RECEIPT DATE 07/03/2001

Teng Pin Poo

1601457-0007

CONFIRMATION NO. 4356

FORMALITIES LETTER

OC000000006477998

White & Case, LLP Attn: Patent Department 1155 Avenue of the Americas New York, NY 10036

Date Mailed: 08/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all If required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

01 FC:105

130.00 OP

A copy of this notice MUST be returned with the reply.

PATENT DEPARTMENT

AUG 3 0 2001

RECEIVED





Initial Patent Examination Division (703) 308-1202 PART 2 - COPY TO BE RETURNED WITH RESPONSE







IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Teng Pin Poo, et al.

Assignee: S-COM SYSTEM (S) Pte Ltd.

Serial No.: 09/898,365

Group Art Unit: 2185

Filed: July 3, 2001

Examiner: To be assigned

For: **PORTABLE DEVICE HAVING**

DIOMETRICS BASES

White & Case Docket No.: 1601457-0007

BIOMETRICS-BASED

AUTHENTICATION CAPABILITIES

SUBMISSION OF FORMAL DRAWINGS

Assistant Commissioner for Patents

Washington, D.C. 20231

Attn: Official Draftsperson

Sir:

In response to the Notice of Missing Parts mailed on August 27, 2001, please substitute the enclosed 7 sheets of formal drawings (comprising FIG. 1A, FIG. 1B, FIG. 2, FIG. 3, FIG. 4, FIG. 5, FIG. 6, FIG. 7, FIG. 8, FIG. 9, and FIG. 10) for the informal drawings previously submitted in the above-identified application.

If any fees associated with the submission of the formal drawings are due, authorization is hereby granted to charge such fees to White & Case LLP Deposit Account Number 23-1703. A copy of this sheet is enclosed.

Respectfully submitted,

Dated: October 4, 2001

Patrick W. Ma (Reg. No. 44,215)

White & Case LLP

1155 Avenue of the Americas New York, NY 10036-2787

(650) 213-0300